

08/10/01



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PTO/SB/05

 10/10/01
 60827609
 09/22/01
 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **NP1004US**First Inventor **KIA SILVERBROOK**Title **INVERSE SURFACE PRINTER USING INVISIBLE INK**Express Mail Label No. **6213679542US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
 2. ☒ Applicant claims small entity status.
 See 37 CFR 1.27.
 3. ☒ Specification [Total Pages **99**]
 (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **67**]
 5. Oath or Declaration [Total Pages **3**]
 a. ☒ Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 17 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attaching deleting inventor(s)
 named in the prior application, see 37 CFR
 1.53(d)(2) and 1.53(f).
 6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
 (when there is an assignee)
 11. ☐ English Translation Document (if applicable)
 12. ☐ Information Disclosure Statement (IDS)/PTO-1449
 13. ☐ Copies of IDS Citations
 14. ☐ Preliminary Amendment
 15. ☐ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 16. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation
 ☐ Divisional
 ☒ Continuation-in-part (CIP)
of prior application No. **09, 693, 301**

Prior application information:

Examiner:

Group / Art Unit:

2622

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label**24011**

(report Customer No. & Assign Air date info name)

or ☐ Correspondence address below

Name

KIA SILVERBROOK

Address

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City

Balmain

State

NSW

Zip Code

2041

Country

Australia

Telephone

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Name (Print/Type)

KIA SILVERBROOK

Registration No. (Attorney/Agent)

Signature

Date **August 7, 2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **925**

Complete if Known

Application Number	
Filing Date	
First Named Inventor	KIA SILVERBROOK
Examiner Name	
Group Art Unit	
Attorney Docket No.	NP1004US

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ **Payment Enclosed:**
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity/Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 710 201	355		Utility filing fee	355
106 320 206	160		Design filing fee	
107 490 207	245		Plant filing fee	
108 710 208	355		Reissue filing fee	
114 150 214	75		Provisional filing fee	
SUBTOTAL (1)				(\$ 355)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
70	-20** = 50	9	450
1	-3** = 40	0	0
Multiple Dependent			0

Large Entity/Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18 203	9		Claims in excess of 20
102 80 202	40		Independent claims in excess of 3
104 270 204	135		Multiple dependent claim, if not paid
109 80 209	40		** Reissue independent claims over original patent
110 18 210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **450**

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity/Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205	65		Surcharge - late filing fee or oath	
127 50 227	25		Surcharge - late provisional filing fee or cover sheet	
139 130 139	130		Non-English specification	
147 2,520 147	2,520		For filing a request for <i>ex parte</i> reexamination	
112 920* 112	920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113	1,840*		Requesting publication of SIR after Examiner action	
115 110 215	55		Extension for reply within first month	
116 390 216	195		Extension for reply within second month	
117 890 217	445		Extension for reply within third month	
118 1,390 218	695		Extension for reply within fourth month	
126 1,890 226	945		Extension for reply within fifth month	
119 310 219	155		Notice of Appeal	
120 310 220	155		Filing a brief in support of an appeal	
121 270 221	135		Request for oral hearing	
138 1,510 138	1,510		Petition to institute a public use proceeding	
140 110 240	55		Petition to revive - unavoidable	
141 1,240 241	620		Petition to revive - unintentional	
142 1,240 242	620		Utility issue fee (or reissue)	
143 440 243	220		Design issue fee	
144 600 244	300		Plant issue fee	
122 130 122	130		Petitions to the Commissioner	
123 50 123	50		Petitions related to provisional applications	
126 240 126	240		Submission of Information Disclosure Stmt	
581 40 581	40		Recording each patent assignment per property (times number of properties)	120
146 710 246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279	355		Request for Continued Examination (RCE)	
169 900 169	900		Request for expedited examination of a design application	
Other fee (specify)				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **120**

SUBMITTED BY

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	Telephone
Signature			Date August 7, 2001

Complete if applicable

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

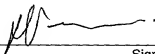
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Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on 10 AUGUST 2001
Date



Signature

ANDREW T R NEWMAN

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Submitted herewith Utility Patent Application Transmittal and enclosures for an invention entitled
" 1 " (Docket No. NPI004US).

" INTERFACE SURFACE PRINTER USING INVISIBLE INK "

Expresspost Label No.

E121367956245